

3-1-02
jc542 U.S. PTO
02/26/02

PATENT

Docket No. IL-10810

Customer No. 24981

Commissioner for Patents
Washington, DC 20231

jc997 U.S. PTO
10/085550
02/26/02

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

Randy A. Barksdale, Ronald B. Robinson, Sharon S. Alvarez and Gary D. Power

For (title): **VACUUM DEPOSITION OF POWDERED PHOSPHOR**

1. Type of Application

- ☒ This new application is for an original patent.
- ☐ This new application is a:
 - ☐ Division
 - ☐ Continuation
 - ☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s).

3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

| | |
|------------------------|-------------|
| Application Serial No. | Filing Date |
|------------------------|-------------|

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

- 6 Pages of specification with title page
- 4 Pages of claims
- 1 Pages of abstract
- 0 Sheets of drawings
- ☐ Formal

5. Additional papers enclosed

- ☐ Preliminary Amendment
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449 w/references

6. Declaration or oath

- ☒ Enclosed and executed by
- ☒ inventors
- ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
- ☒ is attached
- ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

| | | |
|-----------|-------------------|---------|
| (country) | (application no.) | (filed) |
| (country) | (application no.) | (filed) |

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

| CLAIMS AS FILED | | | | | |
|--------------------|--------------|-----------------------|--------------|--------------------------|-------------|
| Type of Claim | Number Filed | Included in Basic Fee | Number Extra | Rate | Total Fee |
| Total Claims | 22 | -20 = | 2 x | \$18 = | \$ 36.00 |
| Independent Claims | 2 | -3 = | 0 x | \$84 = | \$ 00.00 |
| | | | | Multiple Claims | = \$ |
| | | | | Basic Filing Fee | = \$ 740.00 |
| | | | | Sub-Total | = \$ 776.00 |
| | | | | Small Entity Filing Fee= | \$ 388.00 |

10. Small Entity Statement(s)

☐ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

☒ Small Entity status is proper and desired

Filing Fee Calculation (50% of regular filing fee) \$ 388.00

11. Fee Payment

☐ Not Enclosed

☒ Enclosed (See Account No. Below)

Total Basic Filing Fees To Be Paid \$ 388.00

12. Method of Payment of Fees

☐ Check in the Amount of \$ _____

☒ Charge Account No. 12-0695 in the amount of \$ 388.00

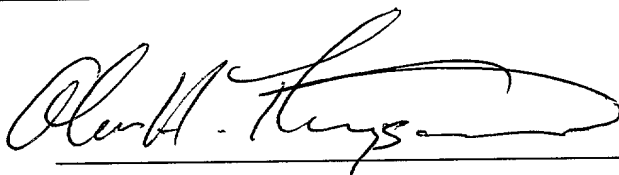
A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

☒ credit/charge

Account No. 12-0695

☐ refund



Alan H. Thompson
Deputy Laboratory Counsel for
Intellectual Property Law
Reg. No.: 29,981
Tel No.: (925) 422-7820
P.O. Box 808, L-703
Livermore, CA 94551

Dated: 2-26-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Randy A. Barksdale et al. Attorney Docket No.: IL-10810
Serial No. : Art Unit:
Filed : Examiner:
For : Vacuum Deposition of Powdered Phosphor

Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL534394359US

Date of Deposit 2-26-02

I hereby certify that the following *attached*

1. Recordation Cover Sheet w/ Assignment (2 pages)
1. New Application Transmittal (in duplicate)
2. Application (Specification with title page 6 pages
Claims 4 pages, Abstract 1 page, 0 drawings)
3. Combined Declaration and Power of Attorney (3 pages)
4. Information Disclosure Statement, Form PTO-1449, 8 US Patents
5. Express Mail Certificate
6. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

April Masluk

(Type or print name of person mailing paper)

April Masluk

(Signature of person mailing paper or fee)